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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/721,780	11/26/2003	Richard T. Raines	023880-6

22204
NIXON PEABODY, LLP
401 9TH STREET, NW
SUITE 900
WASHINGTON, DC 20004-2128



CONFIRMATION NO. 5086

FORMALITIES LETTER



OC000000011986282

Date Mailed: 02/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$2664 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$3564 for a Large Entity

04/14/2004 WASFAW1 00000009 192380 10721780
01 FC:1001 770.00 DA
02 FC:1051 130.00 DA
03 FC:1201 774.00 DA
04 FC:1202 1890.00 DA

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Adjustment date: 07/13/2004 EEKUBAY1
04/14/2004 WASFAW1 00000009 192380 10721780
01 FC:1001 770.00 CR
02 FC:1051 130.00 CR
03 FC:1201 774.00 CR
04 FC:1202 1890.00 CR

DOCKETED
3/1/04 By KAH
Nixon Peabody, LLP

10721780
07/13/2004 EEKUBAY1 00000009 192380
01 FC:2001 385.00 DA
02 FC:2051 65.00 DA
03 FC:2201 387.00 DA
04 FC:2202 945.00 DA

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Office (Fax No. 703-308-6077) on 6-14-2004.

Sharon L. Tabor
Sharon L. Tabor

Attorney Docket No. 023880-6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of:)
Richard T. RAINES et al.) Confirmation No. 5086
Application No. 10/721,780) Group Art Unit: 3625
Filed: November 26, 2003) Examiner: Unknown
For: SYSTEM AND METHOD FOR)
DETERMINING VEHICLE PRICE)
ADJUSTMENT VALUES)

REQUEST FOR REFUND
(37 C.F.R. §1.28(a))

Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Refund Branch, Accounting Division, Office of Finance

Sir:

Applicants submit herewith an assertion of small entity status (attached) in this application.

This request for refund is made within two months of the date the full fee was charged to the deposit account in this application on April 14, 2004, in the amount of \$3,564.00.

On April 9, 2004, a Response to the Notice to File Missing Parts was filed in the above-captioned application authorizing to charge the deposit account the \$770.00 filing fee, \$130.00 surcharge fee, and \$2,664.00 additional claims fee

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Application No. 10/721,780
Docket No. 023880-6

totaling \$3,564.00. The appropriate fees for a small entity are \$1,782.00. Therefore, Applicants' payment of \$3,564.00 in fees was \$1,782.00 in excess of the fees required by law.

In accordance with the terms of 37 CFR §1.26 and 37 CFR §1.28(a), Applicants hereby seek and respectfully request a refund in the amount of \$1,782.00 of the fees paid in excess.

Please credit the refund to Deposit Account No. 19-2380 (023880-6).

Respectfully submitted,



Tim L. Brackett, Jr.
Registration No. 36,092

NIXON PEABODY LLP
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(202) 585-8000
(202) 585-8080 (Fax)
Customer No. 22204

Date: June 14, 2004

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Sharon L. Tabor
Sharon L. Tabor

Attorney Docket No. 023880-6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT application of:)
Richard T. RAINES et al.) Confirmation No. 5086
Application No. 10/721,780) Group Art Unit: 3671
Filed: November 26, 2003) Examiner: Unknown
For: SYSTEM AND METHOD FOR)
DETERMINING VEHICLE PRICE)
ADJUSTMENT VALUES)

ASSERTION OF SMALL ENTITY STATUS
(37 C.F.R. §1.27(c)(1))


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby states that applicant is a small entity and that status as a small
entity is asserted for this application.

Respectfully submitted,

Date: June 14, 2004

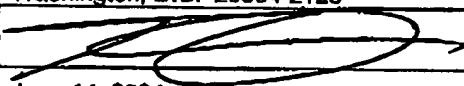

Tim L. Brackett, Jr.
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/721,780
		Filing Date	November 26, 2003
		First Named Inventor	Richard T. RAINES
		Group Art Unit	3625
		Examiner Name	
Total Number of Pages in This Submission	4	Attorney Docket Number	023880-6

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Assertion of Small Entity Status
Remarks	<input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	<u>Tim L. Brackett, Jr., Reg. No. 36,092</u> Nixon Peabody LLP 401 9th Street, N.W. Suite 900 Washington, D.C. 20004-2128
Signature	
Date	June 14, 2004

CERTIFICATE OF MAILING OR TRANSMISSION		
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Name <i>(Print/Type)</i>	Sharon L. Tabor	
Signature		Date June 14, 2004